

2022 APPLICATION FORM

Project Funding for Local Initiatives (LI)

Construction Projects

Project Title _____

Applicant _____

WHAT DO YOU WANT TO BUILD?

In no more than 3 sentences, provide a general description of the project and what it will accomplish.

ii

WHO OWNS THE RIGHT-OF-WAY?

Check whether the applicant and/or another agency owns the right-of-way where the project will be built. Resolution of support from facility owner clearly indicating that the project may be constructed as proposed is a required attachment (see submittal checklist).

- ☐ Applicant ☐ Other Entities _____
Requires resolution letter from owner allowing project to be built.

WHO IS DOING WHAT?

Check the applicable boxes. All projects on state highways must be administered and constructed by FDOT. For projects on local roadways (county or city), the applicant may administer the project or ask FDOT to administer the project on their behalf. Locally administered projects require a Local Agency Program (LAP) agreement to administer Federal Aid projects in accordance with FDOT's [Local Agency Program Manual](#) (topic no. 525 010 300).

	<u>Administered by:</u>	<u>Funded by:</u>
Design (PE)	<input type="checkbox"/> Applicant or consultant ⁽¹⁾⁽²⁾ <input type="checkbox"/> FDOT (requires prior approval)	<input type="checkbox"/> Applicant (required if locally administered) <input type="checkbox"/> TPA (if FDOT administered)
Construction (CST)	<input type="checkbox"/> Applicant or consultant ⁽¹⁾ <input type="checkbox"/> FDOT (requires prior approval) <input type="checkbox"/> Other: _____	<input type="checkbox"/> Applicant <input type="checkbox"/> TPA
Const Eng & Inspect (CEI)	<input type="checkbox"/> Applicant or consultant ⁽¹⁾⁽²⁾ <input type="checkbox"/> FDOT (requires prior approval)	<input type="checkbox"/> Applicant (if locally administered project) <input type="checkbox"/> TPA (if FDOT administered project)

(1) FDOT pre-qualified consultants must be used on all design and CEI work for critical projects (a project is considered critical when it is on the State Highway System (SHS), features a major structure, and/or has a budget greater than \$10 million)

(2) Design consultant and CEI consultant shall not be the same.

APPLICANT CONTACT INFORMATION

Contact Person
Title
Email
Phone Number
Address

PROJECT LOCATION

Road Name
Road Number

Project Limit Begin
Project Limit End

QUALIFYING ACTIVITIES FOR FUNDING

Check the box that represents the majority of the work the proposed project will address. Eligible activities must be consistent with details described under [23 U.S.C. 133\(b\)](#).

Complete Street projects - Including Lane Narrowing, Lane Elimination, Bicycle Facilities, Pedestrian Facilities, Transit Infrastructure, Intelligent Transportation Systems (ITS), Median Modifications, Signing and lighting, Turn Lanes, Traffic Signals, Striping and Marking.

Transit capital - purchases of vehicles, shelters, park-and-ride facilities. Can also pay up to 50% of a 3-year turn-key contract for new transit service (e.g. trolley service)

Non-motorized infrastructure - Separated, Buffered and/or designated bike lanes, Sidewalks, Shared-use paths, Street lighting

Freight efficiency - Airport or seaport off-site capacity improvements, Railway capacity improvements, Truck movement improvements.

Other eligible activities not specified above

PROJECT TYPICAL SECTION INFORMATION

Complete the following information for existing & proposed features, dimensions, & right of way lines. The typical section information provides an understanding of the spacing requirement differences between the existing facilities and the proposed facilities.

	EXISTING	PROPOSED
RIGHT-OF-WAY WIDTH		
Project must fit within current ROW, acquisition is not permitted		
PEDESTRIAN OR MULTIUSE FACILITIES		
Length (miles) for one direction. Do not count both sides of roadway.		
Width on North or West side (feet)*		
Width on South or East side (feet)*		
BICYCLE FACILITIES		
Bike Lane Length (feet) for one direction. Do not count both sides of roadway.		
Width on North or West side (feet)* (not Including Buffer Width)		
Buffer Width on North or West side (feet)*		
Width on South or East side (feet)* (not Including Buffer Width)		
Buffer Width on South or East side (feet)*		
Proposed improvements requires:		
Pavement widening Sharrows Restriping		
MOTORIZED VEHICLE FACILITIES		
Number of Through Travel Lanes		
Typical Through Lane Width (feet)		
Total Width* (No. Travel Lanes x Lane Width)		
Posted Speed Limit		
Design Speed (if known)		
MEDIAN/SWALE/CURB		
Median/Center Turning Lane Width (feet)*		
Swale Width (feet)*		
Curb Width (feet)*		
*TOTAL WIDTH OF ALL COMPONENTS (Add all rows with red for total width) Must fit within existing right-of-way.		

RIGHT-OF-WAY OWNERSHIP DOCUMENTATION

Describe the project's existing right-of-way ownerships. This description shall identify when the right-of-way was acquired and how ownership is documented (i.e. plats, deeds, prescriptions, certified surveys, easements). If right-of-way is an easement, please describe the easement language. Right-of-way ownership verification documents are a required attachment (see submittal checklist on page 9).

PROJECT SCOPE OF WORK

Describe how the proposed improvements will affect the following:

ADA items (crosswalks, sidewalks, ramps, etc.)

All proposed crosswalks, sidewalks, ramps, etc. comply with ADA standards YES NO

Access Management revisions (median modifications, impacts to driveways, etc.) YES NO

Pedestrian and/or Roadway Lighting

Pedestrian lighting proposed YES NO Roadway lighting proposed YES NO

Landscape (with Local Funds)

Median landscape proposed YES NO Other landscape proposed YES NO
Irrigation items proposed YES NO

Signalization

Pedestrian signals proposed YES NO Existing signalization to be replaced YES NO
New traffic signalization proposed YES NO

Transit Improvements

Queue jump possible YES NO Transit provider concurrence provided YES NO
Upgrade existing bus bay area YES NO

School Zone Modifications

Existing flashing school zone signal on state road YES NO
High emphasis cross walk at unsignalized crossing YES NO

Utility Modifications

Sub-surface relocation is required	YES	NO	Utility coordination is required	YES	NO
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Drainage and/or other Permits

Existing closed drainage system to remain	YES	NO
Existing open drainage system to remain	YES	NO

Railroad Crossing Modifications

Rail crossing within limits	YES	NO	Replace railroad signal equipment and gates	YES	NO
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Bridge Modifications

Proposed pedestrian bridge	YES	NO	Bridge replacement	YES	NO
Proposed bridge widening	YES	NO			

Additional Information

Other Scope Items

Summarize any special characteristics of the project.

Identify any upcoming projects or projects currently underway adjacent to the proposed project.

Other specific project information that should be considered.

PUBLIC INVOLVEMENT AND SUPPORT

Describe the public outreach and support for the project (i.e. petitions, written endorsements, resolutions, etc.). Attach documentation.

Projects impacting single family residential lots will require additional documentation of public support. Additional documentation must indicate that all property owners directly affected by the improvement were notified, that at least 25 percent of the property owners showed support for the project, and that no more than 10 percent of the property owners showed lack of support.

PROJECT MAINTENANCE

Document ownership and maintenance responsibilities for the project when complete. Applicant's Resolution of Support and commitment to fund operations and maintenance of the project is a required attachment. See submittal checklist on page 9).

COST ESTIMATE

The total construction amount of Local Initiatives Program funds requested per projects (infrastructure) must be in excess of \$250,000 with a maximum project amount of \$5,000,000.

Local Initiatives Program funds will be used to fund Construction, Construction Engineering and Inspection Activities (CEI) and FDOT in-house support activities. The applicant may also request that Local Initiative Program funds be used for project Design, Environmental Services, and Post Design Services.

Local Funds (LF) will be used for all non-participating items, contingency activities, and any costs in excess of the awarded funding (LI) allocation.

- (a) Provide detailed project cost estimate using one of the following forms. Estimate shall be broken down to eligible and non-eligible project costs. **Estimates are to be prepared and signed by a Professional Engineer from the Local Agency's Engineering office.**
 - a. [On-System \(on State Highway System\) Cost Estimate Sheet](#)
 - b. [Off-System \(not on State Highway System\) Cost Estimate Sheet](#)

Use the following links to access the basis of estimates manual as well as historical information for the project area.

[Basis of Estimates Manual](#)

[Historical Cost Information](#)

CERTIFICATION OF PROJECT SPONSOR

I hereby certify that the proposed project herein described is supported by _____
(*municipal, county, state or federal agency, or tribal council*) and that said entity will:

- 1) provide any funding required in addition to the grant amount;
- 2) enter into a LAP and maintenance agreement with the Florida Department of Transportation;
- 3) have complied with the Federal Uniform Relocation Assistance and Acquisition Policies Act for any Right of Way actions intended for this project previously performed within the project limits;
- 4) will comply with NEPA process prior to construction, which may involve coordination with the State Historic and Preservation Office (SHPO); and
- 5) support other actions necessary to fully implement the proposed project.

I further certify that the estimated costs included herein are reasonable, and that increases in these costs could cause significant increase to the local agency required participation. I understand failure to follow through on the project once programmed in the Florida Department of Transportation's Work Program is not allowed.

Signature

Name (*please type or print*)

Title












Date

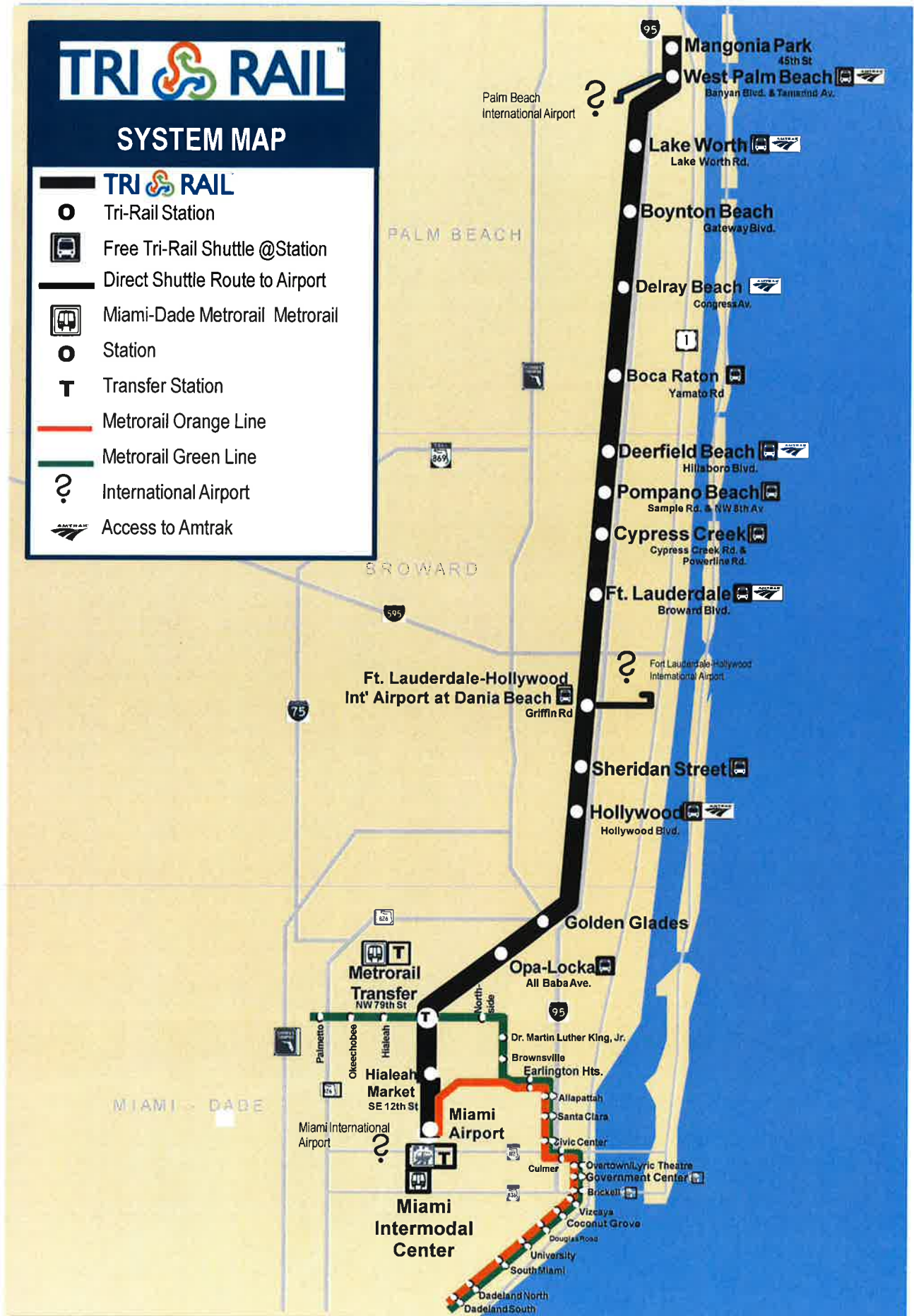
FOR FDOT USE ONLY

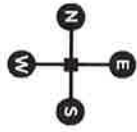
Application Complete	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Project Eligible	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Implementation Feasible	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Include in Work Program	<input type="checkbox"/> Yes	<input type="checkbox"/> No

TRI RAIL

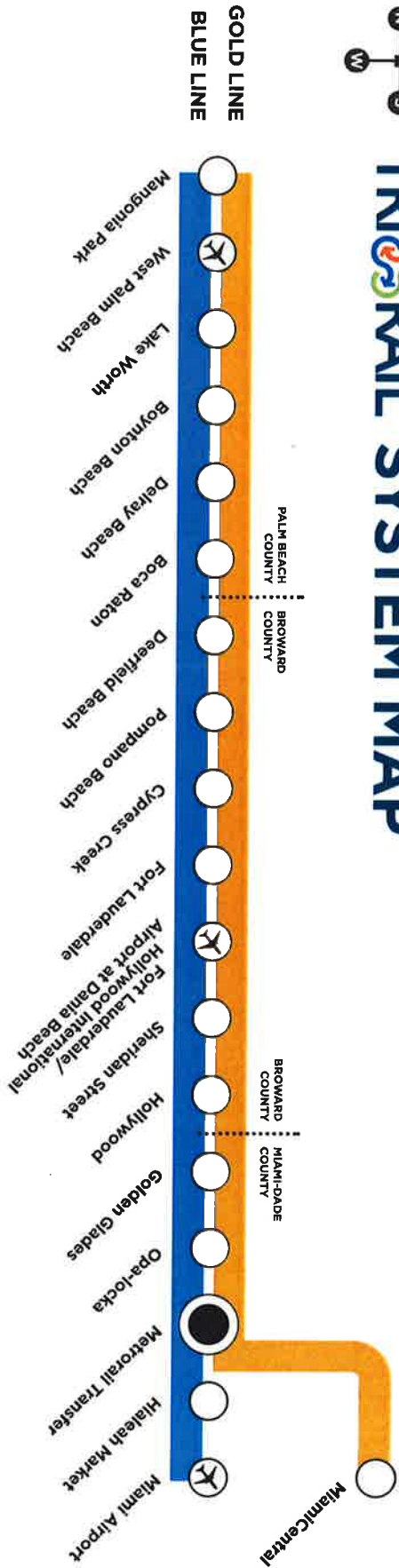
SYSTEM MAP

-  **TRI RAIL**
-  Tri-Rail Station
-  Free Tri-Rail Shuttle @Station
-  Direct Shuttle Route to Airport
-  Miami-Dade Metrorail Metrorail
-  Station
-  Transfer Station
-  Metrorail Orange Line
-  Metrorail Green Line
-  International Airport
-  Access to Amtrak





TRIRAIL SYSTEM MAP



ENGINEERS COST ESTIMATE
(Use for Off-System Projects - Administered through LAP)
FM# 449850-1
Project Description: SFRTA Rolling Stock

		FHWA Participating				FHWA non-participating (Local funds)						
Pay Item Number*	Pay Item Description*	Quantity	Unit	Engineer's Unit Cost	Engineer's Subtotal Cost	Quantity	Unit	Engineer's Unit Cost	Engineer's Subtotal Cost	Total Quantity	Total Engineer's Cost	
	Commuter Rail Rolling Stock	1	each	\$ 3,500,000.00	\$ 3,500,000.00				\$ -	1	\$ 3,500,000.00	
					\$ -				\$ -	0	\$ -	
					\$ -				\$ -	0	\$ -	
					\$ -				\$ -	0	\$ -	
		Funds for Construction (Phase 58)				Local Funds for Construction (Phase 58)				\$ -	Subtotal	\$ 3,500,000.00
FDOT IN-HOUSE DESIGN SUPPORT (Phase 31) (REQUIRED)		1	LS	\$5,000	\$ -	FDOT In-House Support must be included as an FHWA Participating Item						
ADDITIONAL FDOT IN-HOUSE DESIGN SUPPORT FOR CRITICAL PROJECTS		0	LS	\$2,000	\$ -							
FDOT IN-HOUSE CONSTRUCTION SUPPORT (Phase 61) (REQUIRED)		1	LS	\$5,000	\$ -							
ADDITIONAL FDOT IN-HOUSE CONSTRUCTION SUPPORT FOR CRITICAL PROJECTS		0	LS	\$2,000	\$ -							
LOCAL FUNDS FOR DESIGN		Reimbursable item				1	LS		\$ -			
CONTINGENCY (Phase 58) (REQUIRED)		Contingency is not a FHWA Participating Item				1	LS	20%	\$ -			
TRANSIT RELATED PROJECTS (10% FTA ADMINISTRATIVE FEE)		Administrative Fee is not a FHWA Participating Item				0	LS	10%	\$ -			
CONSTRUCTION ENGINEERING & INSPECTION ACTIVITIES (CEI) (Phase 68)		Reimbursable item				1	LS	3%	\$ -			
FDOT OVERSIGHT CEI (3% OF TOTAL CONSTRUCTION COST ESTIMATE) (Phase 62) (REQUIRED)		1	LS	3%		FDOT In-House Support must be included as an FHWA Participating						
					\$ 3,500,000.00						\$ -	\$ 3,500,000.00
					Subtotal FHWA Participating						Subtotal FHWA Non-Participating	Total Construction Cost Estimate

*Projects on the State Highway System and Critical Projects **SHALL** utilize FDOT pay items numbers and descriptions.

Non-participating items:

- Mowing & Litter removal
- Engineering work; Optional services; Survey; Video inspection; MOT plans preparation; As-builts/record drawings
- Utility work -- this includes, but is not limited to: valve adjustments, utility relocations, FPL power pole relocations, AT&T directional bore, etc...
- Contingency

Other elements may be non-participating; the ones listed above are the commonly used pay items that are non-participating.

If you have any questions regarding an eligible or non-participating item, please contact District Four Local Program Unit.

Prepared by:

Vicki Gatanis
Name:

PE Number: Vicki Gatanis
Signature: 2-8-2022
Date:

Reviewed by:

Loraine Cargill
Name:

L Cargill
Signature: 2-9-22
Date:

Approved by (Agency Responsible Charge):

Steven L. Abrams

Steven L. Abrams
Signature: 2/11/2022
Date:

Item Description	Cost \$
(A) TOTAL Construction Cost Estimate ⁽¹⁾	\$3,500,000.00
(B) Cost Estimate of Eligible (participating) items ⁽²⁾	\$3,500,000.00

Funding Breakdown	Fund Source	Cost \$
(C) FDOT In-House Design Support (phase 31) ⁽³⁾	TAP	\$0.00
(D) (Critical projects only) FDOT In-House Design Support (phase 31) ⁽³⁾	TAP	\$0.00
(E) FDOT In-House Construction Support (phase 61) ⁽³⁾	TAP	\$0.00
(F) (Critical projects only) FDOT In-House Construction Support (phase 61) ⁽³⁾	TAP	\$0.00
(G) TAP funds requested for Construction (phase 58)	TAP	\$0.00
(H) Local Funds for Construction (phase 58)	LF	\$0.00
(I) Local Funds for Contingency (phase 58)	LF	\$0.00
(J) Local Funds for Design	LF	\$0.00
(K) Construction Engineering & Inspection Activities (CEI) (phase 68 - optional) ⁽⁴⁾	TAP	\$0.00
(L) Construction Engineering & Inspection Activities (CEI) (phase 68) ⁽⁴⁾	LF	\$0.00
(M) Transit Related projects FTA 10% administrative fees ⁽⁵⁾	LF	\$0.00
(N) FDOT Oversight CEI (3% of TOTAL Construction Cost Estimate) (phase 62) ⁽⁶⁾	TAP	\$0.00

Funding Summary	
(O) Total TAP funds ⁽⁷⁾	\$0.00
(P) Total LF funds	\$0.00
(Q) Total Funds	\$3,500,000.00

Notes:

- (1) The Total Construction Cost Estimate in this field must be equal to the Total Construction Cost Estimate from the attached detailed project cost estimate.
- (2) Cost Estimate of Eligible (participating) items must be equal to the Subtotal FHWA Participating from the attached detailed project cost estimate.
- (3) FDOT In-House Design and Construction Support must be included in TAP funds for an amount no less than \$5,000, an additional \$2,000 is required for critical projects. This is a required item.
- (4) It is strongly recommended that the applicant allocates a nominal amount for CEI. In the event that the project is programmed without any request for Phase 68 funding, there is no opportunity to allocate CEI funds based on bid savings.
- (5) Any required Federal Transit Authority (FTA) administrative fees must be included in Local Funds.
- (6) FDOT Oversight CEI must be included in TAP funds and be equal to 3% of the Total Construction Cost Estimate.
- (7) Use of the term TAP represents the actual funding codes of TALT, TALU and TALL.

Right-of-Way Ownership Verification

This is to be provided by FDOT.



**SOUTH FLORIDA
REGIONAL
TRANSPORTATION
AUTHORITY**

801 NW 33rd Street | Pompano Beach, Florida 33064 | P 954-942-7245 | F 954-788-7961 | www.sfrta.fl.gov

February 10, 2022

Valerie Neilson, AICP
Interim Executive Director
Palm Beach Transportation Planning Agency
301 Datura Street
West Palm Beach, FL 33401

**Re: Letter of Commitment for 2022 Local Initiatives Program
Application for SFRTA Rolling Stock**

Dear Ms. Neilson:

The South Florida Regional Transportation Authority (SFRTA) desires to purchase new rolling stock capital equipment to increase capacity of the Tri-Rail Commuter Rail Service to address service and ridership increases, improve regional mobility and enhance the passenger experience at Tri-Rail stations in Palm Beach County.

SFRTA's actions in support of this commitment include the following:

- On December 10, 2021, the SFRTA Governing Board approved Resolution No. 21-05 in support for this project.
- The project is listed in the SFRTA Transit Development Plan.
- The project has been listed as a priority project in SFRTA's 20-Year Capital Plan.
- The project is in the Transit Desires Section of the approved Palm Beach TPA 2045 Long Range Transportation Plan.

If funded through the Palm Beach TPA, SFRTA commits to administer and construct the project as applied for in this grant submittal.

Sincerely,

Steven L. Abrams
Executive Director

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2021

AGENDA ITEM REPORT

☒ Consent ☐ Regular

PALM BEACH TRANSPORTATION PLANNING AGENCY
LOCAL INITIATIVES PROGRAM FUNDS – RESOLUTION OF SUPPORT

REQUESTED ACTION:

MOTION TO APPROVE: Resolution of Support No. 21-05 for the South Florida Regional Transportation Authority (SFRTA) to seek Local Initiatives program funds for new rolling stock via an application through the Palm Beach Transportation Planning Agency (TPA).

SUMMARY EXPLANATION AND BACKGROUND:

The Palm Beach TPA administers the Local Initiatives program to provide federal Surface Transportation Program (STP) funds to government agencies to implement eligible transportation projects. The Palm Beach TPA is currently seeking applications for award of Local Initiatives projects in fiscal year (FY) 2028.

SFRTA will apply for award of Local Initiatives program funds in the amount of \$3.5 million for the acquisition of new rolling stock. A resolution of support from the SFRTA Governing Board is required for the project to be considered for award (Exhibit 1).

Department: Planning and Capital Dev.

Department Director: Loraine Cargill, AICP

Project Manager: Vicki Gatanis

Interim Chief Contracting Officer: Janice Rustin

Finance Director: Diane Hernández Del Calvo

FISCAL IMPACT: N/A

FUNDING SOURCE: N/A

EXHIBITS ATTACHED: Exhibit 1 – Resolution No. 21-05

PALM BEACH TRANSPORTATION PLANNING AGENCY
LOCAL INITIATIVES PROGRAM FUNDS – RESOLUTION OF SUPPORT

Recommended by: [Signature] 12/3/21 Approved by: [Signature] 12/3/21
Department Director Date Interim Chief Contracting Officer Date

Authorized by: [Signature] 12/3/21 Authorized by: [Signature] 12/3/21
Executive Director Date Finance Director Date

Approved as to Form by: [Signature] 12/3/21
General Counsel Date

Board Action:

Approved: ☒ Yes ☐ No

Vote: ☒ Unanimous

TRUE COPY
APPROVED BY SFRTA
GOVERNING BOARD ON: 12/10/21
RECORDING SECRETARY
[Signature]
DIANEYS HDZ. DEL CALVO

Amended Motion:

J.C. de ONA	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	TIM RYAN	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
MARIA G. MARINO	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	JAMES A. SCOTT	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
GERRY O'REILLY	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	ROBERT B. SENDLER	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
CARLOS A. PENIN	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	HAL R. VALECHE	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
RAQUEL A. REGALADO	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	ROBERT C. L. VAUGHAN	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

RESOLUTION NO. 21-05

A RESOLUTION OF SUPPORT OF THE SFRTA GOVERNING BOARD TO SEEK LOCAL INITIATIVES PROGRAM FUNDS FOR NEW ROLLING STOCK VIA AN APPLICATION THROUGH THE PALM BEACH TRANSPORTATION PLANNING AGENCY

WHEREAS, the South Florida Regional Transportation Authority (SFRTA) is a body politic and corporate, and an agency of the State of Florida established by Part II of Chapter 343, Florida Statutes; and

WHEREAS, the Palm Beach Transportation Planning Agency (TPA) is a transportation policy-making agency created under federal law to direct urban transportation planning and the allocation of federal and state transportation funds; and

WHEREAS, the TPA administers the Local Initiatives (LI) program to provide federal Surface Transportation Program (STP) funds to governmental agencies to implement eligible transportation projects; and

WHEREAS, STP funds are administered to local governments through the State of Florida via an application through the Palm Beach TPA to the Florida Department of Transportation (FDOT) for eligible transportation projects; and

WHEREAS, the SFRTA desires to purchase new rolling stock capital equipment to increase capacity of the Tri-Rail Commuter Rail Service to address service and ridership increases, improve regional mobility and enhance the passenger experience at Tri-Rail stations in Palm Beach County; and

WHEREAS, the SFRTA Governing Board supports the application for federal STP funds through the Palm Beach TPA for the acquisition of new rolling stock, in the amount of \$3,500,000 (the "Project"); and

WHEREAS, the SFRTA will fund operations and maintenance of the Project for the duration of its useful life; and

WHEREAS, a resolution from the SFRTA stating support for the Project is required for the Project to be considered for award in Fiscal Year (FY) 2028.

NOW, THEREFORE, BE IT DULY RESOLVED BY THE GOVERNING BOARD OF THE SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY:

Section 1. The recitals contained in the preamble to this Resolution are incorporated by reference.

Section 2. The Governing Board of the South Florida Regional Transportation Authority supports the application for federal STP funds for the Project via applications through the Palm Beach TPA to FDOT and agrees to accept the award and execute the necessary documents following legal review and approval.

Section 3. This Resolution shall become effective immediately upon adoption.

The foregoing Resolution was offered by Board Member Regalado who moved its adoption. The motion was seconded by Board Member Penin and upon being put to the vote, the votes were as follows:

Hal R. Valeche, Chair
Commissioner Raquel A. Regalado, Vice Chair
Commissioner Tim Ryan
Commissioner Maria G. Marino
Board Member J.C. de Ona
Board Member Robert B. Sendler
Board Member Gerry O'Reilly
Board Member Carlos A. Penin
Board Member James A. Scott
Board Member Robert C. L. Vaughan

The Chair thereupon declared the resolution duly passed and adopted this 10 day of December 2021.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

By: Hal R. Valeche
Hal R. Valeche, SFRTA Chair

Attest: Steven L. Abrams
Steven L. Abrams, SFRTA Executive Director

Approved as to form:

By: James J. Pore
SFRTA General Counsel